

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)
(National Phase of International Appln.: PCT/GB00/02683,
Publication No. WO 01/05299 A1)

In re the
application of: **Jonathan Quinton KERR**

International Application No.: **PCT/GB 00/02683**

International Filing Date: **11 July 2000**

U.S. Serial No.: **Not yet assigned**

Filed: **Herewith**

For: **STERILE OPHTHALMIC BARRIER DEVICE**

Attorney Docket No.: **FHW-099US**

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (4 sheets);
Unexecuted Declaration, Petition and Power of Attorney (3 sheets); PCT International
Published Application (WO 01/05299 A1) (with International Search Report attached)
(20 sheets); Information Disclosure Statement (2 sheets); Form PTO-1449 (1 sheet);
Preliminary Examination Report (8 sheets); Check in \$510 based on small entity status;
Certificate of Express Mailing (1 sheet); and Return Postcard are being deposited by me
with the United States Postal Service "Express Mail Post Office to Addressee" service,
Mailing Label No. **EL 939 866 246 US**, under 37 CFR 1.10 on the date indicated below
and is addressed to the Box PCT, Commissioner for Patents, Washington, D.C. 20231.

Date: 17 January 2002

Name:

LARRY TAYLOR

Signature:

Larry Taylor

FHW 9US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/031538

INTERNATIONAL APPLICATION
PCT/GB00/02683INTERNATIONAL FILING DATE
11 July 2000 (11.07.00)PRIORITY DATE CLAIMED
17 July 1999 (17.07.99)

TITLE OF INVENTION

STERILE OPHTHALMIC BARRIER DEVICE

APPLICANT(S) FOR DO/EO/US

Jonathan Quinton KERR

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C.371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **(unexecuted) (3 Sheets);**
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98; **(2 sheets) with Form PTO-1449 (1 sheet);**
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☒ A **FIRST** preliminary amendment **(4 sheets);**
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: **PCT International Published Application (WO 01/05299 A1) (with International Search Report attached) (20 sheets); The International Preliminary Examination Report (8 sheets); Check for \$510 based on small entity status; Certificate of First Class Mailing (1 sheet); and Return Postcard.**

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) .(a/o November 1, 2000):
Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO.....\$1040

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO\$890

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.455(a)(2)) paid to USPTO\$740

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	10-20 =	0	X \$18.00
Independent claims	2-3 =	0	X \$84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 280.00
TOTAL OF ABOVE CALCULATIONS =			\$1020.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$
SUBTOTAL =			\$510.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$
TOTAL NATIONAL FEE =			\$
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$
TOTAL FEES ENCLOSED =			\$510.00
			Amount to be: refunded
			charged

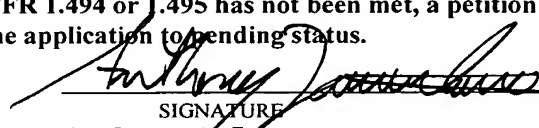
a. ☒ Check in the amount of \$ 510.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. in the amount of \$ to cover the above
fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
any overpayment to Deposit Account No. 12-0080 . A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
Anthony A. Laurentano, Esq.
LAHIVE & COCKFIELD, LLP
28 State Street
Boston, Massachusetts 02109
United States of America
(617)227-7400
Date: 17 January 2002


SIGNATURE
Anthony A. Laurentano
NAME
38,220
REGISTRATION NUMBER

Form PTO-1390 (REV 10-2000) page 2 of 2